PATENT

hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stap Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/618,090

Confirmation No. : 3808

Date

Applicants: Mark A. Moehring, Joseph H. Farnsworth, Ajay Philip Zachariah and

Henry James Baron, Jr.

Filed

: July 10, 2003

Attorney Docket No.: 33354/US

Art Unit : 3737

Customer No.

: 27,076

Examiner: Ali M. Imam

Title

: DOPPLER ULTRASOUND METHOD AND APPARATUS FOR MONITORING

BLOOD FLOW AND HEMODYNAMICS

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. Copies of the cited foreign patent and non-patent literature references, as required under 37 C.F.R. § 1.98(a)(2), are enclosed. Copies of the cited U.S. patents and U.S. patent application publications will not be submitted herewith in accordance with the waiver by the Office of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for U.S. national patent applications filed after June 30, 2003. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Kimton N. Eng

Registration No. 43,605

KNE:ajs

Enclosures:

Postcard

Check

Fee Transmittal Sheet (+ copy)

Copy of Information Disclosure Statement

Form PTO-1449

Cited References (18)

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

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FORM PTO-1449 (REV.7-80)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

(Use several sheets if necessary)

ATTY. DOCKET NO. 33354/US

APPLICATION NO. 10/618,090

APPLICANT(S)

Mark A. Moehring et al.

FILING DATE July 10, 2003

GROUP ART UNIT 3737

The state of the s		/	U.S.	PATENT DOCUMENTS			
• EXAMPLE INITIAL		DOCUMENT NUMBER	DATE	NAME ,	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,014,206	03/29/77	Taylor	73	19	
	AB	4,152,928	05/08/79	Roberts	73	61 R	
	AC	4,319,580	03/16/82	Colley et al.	128	661	
	AD	4,501,277	02/26/85	Hongo	128	660	
	AE	4,751,929	06/21/88	Hayakawa et al.	128	663	
	AF	4,800,891	01/31/89	Kim	128	661.09	
	AG	4,848,354	07/18/89	Angelsen et al.	128	660.05	
	АН	4,896,674	01/30/90	Seo	128	661.09	
	AI	4,993,417	02/19/91	Seo	128	661.09	
	AJ	5,053,008	10/01/91	Bajaj	604	104	
	AK	5,083,567	01/28/92	Uchibori	128	661.09	
	AL	5,101,828	04/07/92	Welkowitz et al.	128	668	
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	АО	5,129,399	07/14/92	Hirama	128	661.01	
	AP	5,148,808	09/22/92	Satake	128	660.05	
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	AW	5,513,640	05/07/96	Yamazaki et al.	128	661.09	
	AX	Re. 35,371	11/05/96	Seo	128	661.09	

EXAMINER

DATE CONSIDERED

^{*} EXAMINER:

FORM PTO-1449 (REV.7-80) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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GROUP ART UNIT

INFORMATION DISCLOSURE STATEMENT

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	AY	5,615,680	04/01/97	Sano	128	661.09		
	AZ	5,622,173	04/22/97	Bisson et al.	128	661.01		
	ВА	5,732,705	03/31/98	Yokoyama et al.	128	660.07		
	вв	5,785,654	07/28/98	Iinuma et al.	600	441		
	вс	5,785,655	07/28/98	Goodsell, Jr. et al.	600	441		
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	BE	5,882,315	03/16/99	Ji et al.	600	553		
	BF	5,910,118	06/08/99	Kanda et al.	600	455		
	ВG	5,913,824	06/22/99	Ogasawara et al.	600	455		
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	вк	6,196,972 B1	03/06/01	Moehring	600	454		
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	ВQ	2005/0075568 A1	04/07/05	Moehring	600	453		
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI	LATION
							YES	NO
	BR	WO 94/06353	03/31/94	PCT			X	
	BS	0 079 453 A1	05/25/83	EP .			X	
I H X A MINI	EXAMINER DATE CONSIDERED							

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DATE CONSIDERED

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FORM PTO-1449
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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY. DOCKET NO.

33354/US

APPLICATION NO.

10/618,090

APPLICATION NO.

Mark A. Moehring et al.

FILING DATE

GROUP ART UNIT

July 10, 2003

	OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)				
вт	Aloka-860 Operational Manual. Volume I System Description, Effective S/N: 51M8876 and above. pp. i15-2 and A-2A-5.				
BU	Aloka Color Doppler Model SSD-860 Cardiovascular Scanner Sales Brochure. Aloka Co., Ltd., Japan.				
BV	Demchuk, A.M. et al., "Thrombolysis in Brain Ischemia (TIBI) Transcranial Doppler Flow Grades Predict Clinical Severity, Early Recovery, and Mortality in Patients Treated with Intravenous Tissue Plasminogen Activator", American Heart Association, Inc., January 2001. pp. 89-93.				
вw	Duncan, Walter J. Color Doppler in Clinical Cardiology. Philadelphia, W.B. Saunders Company, Harcourt Brace Jovanovich, Inc., 1988. pp. 1-13.				
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ВУ	Giller, C.A. et al., "Oscillations in Cerebral Blood Flow Detected with a Transcranial Doppler Index", Journal of Cerebral Blood Flow and Metabolism, Vol. 19, No. 4, April 1999. pp. 452-459.				
BZ	Griffith, James M. et al., "An Ultrasound System for Combined Cardiac Imaging and Doppler Blood Flow Measurement in Man", Biomedical Engineering and Instrumentation Branch, Division of Research Services and the Cardiology Branch, National Heart, Lung, and Blood Institute, Maryland, Vol. 57, No. 5, May 1978, pp. 925-930.				
CA	Iwase, Masatsugu et al. <i>Clinical Echocardiography</i> . Dordrecht, Kluwer Academic Publishers, 1989. pp. 11-27 and 250-281.				
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EXAMINER	DATE CONSIDERED				
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INFORM	IATION DISCLOSURE STATEMENT	APPLICANT(S) Mark A. Moehring et al.				
	(Use several sheets if necessary)	FILING DATE July 10, 2003	GROUP ART UNIT 3737			
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CF		"Operation Manual for Diagnostic Ultrasound Equipment Model SSH-160A (2B730-405E*B)", Toshiba Corporation, 1987, pp. 7-4 7-5, 8-1, 11-1 11-3, 11-12, 12-1, 12-3 and 16-10.				
CG	1	ging of the Heart. Germany, Springer-Verlag Berlin				
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